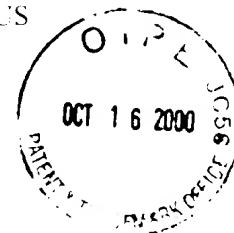


VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 AND 1.27) - INDEPENDENT INVENTOR



In re Patent Application of Raymond E. VanKouwenberg

Serial No: 09/630,900 Filed: August 2, 2000 Applicant's File No: 20022.99R162US

For: COMPACT WASTEWATER CLEANING APPARATUS

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☐ the specification filed herewith
☒ application serial no. 09/630,900, filed August 2, 2000
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e). Each person, concern or organization to which I have assigned, granted, conveyed or licensed and am under obligation under contract to assign, grant, convey or license any rights in the invention is listed below:

- ☐ no such person, concern or organization
☒ persons, concerns or organizations listed below

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Draygon Enterprises, Inc.
ADDRESS P.O. Box 116, Williamson, New York 14589
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT
CORPORATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT
CORPORATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 CFR 1.28 (b)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Raymond E. VanKouwenberg
NAME OF INVENTOR

[Signature]
Signature of Inventor

[Date]
Date

O I P E
OCT 16 2000
3056 20104101

UNITED STATES PATENT AND TRADEMARK OFFICE
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 AND 1.27 - SMALL BUSINESS CONCERN)

In re Patent Application of Raymond E. VanKouwenberg

Serial No: 09/630,900 Filed: August 2, 2000
For: COMPACT WASTEWATER CLEANING APPARATUS
Applicant's File No: 20022.99R162US

- - - - -
Draygon Enterprises, Inc.
a New York Corporation
P.O. Box 116
Williamson, New York 14589


Draygon Enterprises, Inc., licensee of the above identified applicant under the invention as entitled above and described in:

[] the specification filed herewith
[X] application serial no. 09/630,900, filed August 2, 2000
[] patent no. _____, issued _____

hereby declares that it qualifies as a small business concern as defined in 37 CFR 1.9 (d) for purposes of payment of reduced fees under 35 U.S.C. 41 by the applicant(s) to the U.S. Patent and Trademark Office, with regard to the invention.

Draygon Enterprises, Inc. acknowledges the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 CFR 1.28 (b)

Raymond E. VanKouwenberg hereby declares that he is President of Draygon Enterprises, Inc., the above identified firm, that he is authorized to make this declaration on behalf of the firm, that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.



Raymond E. VanKouwenberg, President
Draygon Enterprises, Inc.
(315) 589-9107



Date

Please type and sign in this box →



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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration
Submitted with Initial Filing

OR

☒ Declaration
Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

| | |
|--------------------------|--------------------------|
| Attorney Docket Number | 20022.99R162US |
| First Named Inventor | Raymond E. VanKouwenberg |
| COMPLETE IF KNOWN | |
| Application Number | 09 630,900 |
| Filing Date | August 2, 2000 |
| Group Art Unit | Unknown |
| Examiner Name | Unknown |

As a below named inventor, I hereby declare

My residence, post office address, and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMPACT WASTEWATER CLEANING APPARATUS

the specification of which (Title of the Invention)

is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 08/02/2000 as United States Application Number or PCT International

Application Number 09 630,900 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? YES NO |
|-------------------------------------|---------|----------------------------------|----------------------|------------------------------------|
| None | | | | |

Additional foreign application numbers are listed on a supplemental priority data sheet PTO SB 02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | |
|-----------------------|--------------------------|---|
| 60 147736 | 08 06 1999 | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO SB 02B attached hereto |
| 60 159664 | 10 15 1999 | |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 355(c) of any PCT international application designating the United States of America listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| None | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB 02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number
CR

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

| Name | Registration Number | Name | Registration Number |
|---------------------|---------------------|----------------------|---------------------|
| Ronald S. Kareken | 20,573 | Thomas R. FitzGerald | 26,730 |
| Lee J. Fleckenstein | 36,136 | Laurence S. Roach | 45,044 |
| Ronald J. Kisicki | 38,205 | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB 02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☒ Correspondence address below

| | | | | | |
|---------|-----------------------------------|-----------|----------------|-----|----------------|
| Name | Ronald S. Kareken, Esq. | | | | |
| Address | Jaeckle Fleischmann & Muegel, LLP | | | | |
| Address | 39 State Street | | | | |
| City | Rochester | State | NY | ZIP | 14614-1310 |
| Country | USA | Telephone | (716) 262-3640 | Fax | (716) 262-4133 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | | | |
|--|---|-------|------------------------|---------|---------|
| Name of Sole or First Inventor: | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | |
| Given Name (first and middle (if any)) | | | Family Name or Surname | | |
| Raymond E. | | | VanKouwenberg | | |
| Inventor's Signature | | | | Date | 3/16/00 |
| Residence City | Penfield | State | NY | Country | USA |
| Post Office Address | 7 Sunleaf Drive | | | | |
| Post Office Address | | | | | |
| City | Penfield | State | NY | ZIP | 14526 |
| | | | | Country | USA |

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB 02A attached hereto.